



April 18, 2023

**The Manager**  
Listing Department  
National Stock Exchange of India Ltd  
Exchange Plaza, 5th Floor  
Plot No.C-1, Block G  
Bandra-Kurla Complex  
Bandra (E)  
Mumbai 400 051

**The Secretary**  
BSE Limited,  
25<sup>th</sup> Floor, Phiroze Jeejeebhoy Towers,  
Dalal Street, Fort,  
Mumbai 400 001

**Scrip Code : 504973**

**Symbol : CHOLAHLDNG**

Dear Sir / Madam,

**Sub: Compliance Certificate under Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 – for the year ended March 31, 2023**

Pursuant to Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose a certificate from the Practicing Company Secretary for the period from April 1, 2022 to March 31, 2023.

We request you to take the above on record.

Thanking you,

For **CHOLAMANDALAM FINANCIAL HOLDINGS LIMITED**

KRITHIKA  
ELANJEZHIAN

Digitally signed by  
KRITHIKA  
ELANJEZHIAN  
Date: 2023.04.18  
17:44:33 +05'30'

**E KRITHIKA**  
**COMPANY SECRETARY**

Encl: as above

**Cholamandalam Financial Holdings Limited**  
**(Formerly known as TI Financial Holdings Limited)**

Dare House, 234, N.S.C Bose Road, Chennai - 600 001, India Tel: 91.44.4217 7770-5

Fax: 91.44.42110404 Website: www.cholafhl.com CIN -L65100TN1949PLC002905



**COMPLIANCE CERTIFICATE IN TERMS OF REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

For the period from **1<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023**

**ISIN: INE149A01033**

**SYMBOL: CHOLAHLDNG**

We have examined all Registers, files and other documents, relating to **Cholamandalam Financial Holdings Limited**, having its Registered Office at "Dare House", 234, N.S.C. Bose Road, Chennai – 600001, maintained by its Registrar and Share Transfer Agent viz., **Kfin Technologies Limited (Formerly known as Kfin Technologies Private Limited)**, having its office at Selenium Tower B, Plot 31-32, Gachibowli, Financial District, Nanakramguda, Hyderabad - 500 pertaining to the period from **01<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023** for the purpose of issuing a Certificate under Regulation 39 and 40 (9, 10 & 11) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended till date, SEBI Circular No.SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated 25<sup>th</sup> January, 2022 and the Uniform Listing Agreement entered into by **Cholamandalam Financial Holdings Limited** with the **National Stock Exchange of India Limited** and based on our examination as well as information and explanations furnished to us which to the best of our knowledge and belief were necessary for the purposes of our certification, we hereby certify that in our opinion and according to the best of our information and belief and as confirmed by the Company/RTA, the Company has in relation to the year ended **31<sup>st</sup> March, 2023** has not received any request(s) for Transfer, Transposition of securities, change of name, sub division and Consolidation, renewal, exchange or endorsement of calls/allotment monies.

During the aforementioned period, **Cholamandalam Financial Holdings Limited** has received requests for Transmission of shares, Deletion of name and Issue of Duplicate share certificates and the Letter of Confirmation in lieu of physical share certificate(s) has been delivered to the securities holder(s) /claimant within the stipulated time period from the date of lodgment as stated in the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and the Company has also complied with SEBI Circular No.SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated 25<sup>th</sup> January, 2022.

**For R. SRIDHARAN & ASSOCIATES  
COMPANY SECRETARIES**



**CS R.SRIDHARAN**

**C.P.No.3239**

**FCS No.4775**

**PR NO.657/2020**

**UIN: S2003TN063400**

**UDIN: F004775E000116007**

**Place: Chennai**  
**Date: 17<sup>th</sup> April, 2023**

**CHOLAMANDALAM FINANCIAL HOLDINGS LIMITED**

**CERTIFICATE UNDER SEBI (LODR) REG, 2015  
FOR THE YEAR ENDED 31<sup>ST</sup> MARCH, 2023**

**COMPLIANCE CERTIFICATE IN TERMS OF REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

For the period from **1<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023**

**ISIN: INE149A01033**

**SECURITY CODE: 504973**

We have examined all relevant books, registers, forms, files and other documents and papers relating to **Cholamandalam Financial Holdings Limited (the Company)**, having its Registered Office at "Dare House", 234, N.S.C. Bose Road, Chennai – 600001, maintained by its Registrar and Share Transfer Agents viz., **Kfin Technologies Limited ("RTA") (Formerly known as Kfin Technologies Private Limited)**, having its office at Selenium Tower B, Plot 31-32, Gachibowli, Financial District, Nanakramguda, Hyderabad - 500 032 pertaining to the period from **01<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023** for the purpose of issuing a Certificate under Regulations 39 and 40 (9, 10 & 11) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended till date, SEBI Circular No. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated 25<sup>th</sup> January, 2022 and the Uniform Listing Agreement entered into by **Cholamandalam Financial Holdings Limited** with the **BSE Limited** and based on our examination as well as information and explanations furnished to us which to the best of our knowledge and belief were necessary for the purposes of our certification, we hereby certify that in our opinion and according to the best of our information and belief and as confirmed by the Company/RTA, the Company has in relation to the year ended **31<sup>st</sup> March, 2023** has not received any request(s) for Transfer, Transposition of securities, change of name, sub division and Consolidation, renewal, exchange or endorsement of calls/allotment monies.

During the aforementioned period, **Cholamandalam Financial Holdings Limited** has received requests for Transmission of shares, Deletion of name and Issue of Duplicate share certificates and the Letter of Confirmation in lieu of physical share certificate(s) has been delivered to the securities holder(s) /claimant within the stipulated time period from the date of lodgment as stated in the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and the Company has also complied with SEBI Circular No. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated 25<sup>th</sup> January, 2022.

For **R. SRIDHARAN & ASSOCIATES**  
COMPANY SECRETARIES



**CS R. SRIDHARAN**  
C.P.No.3239  
FCS No.4775  
PR NO.657/2020  
UIN: S2003TN063400  
UDIN: F004775E000116007

Place: Chennai  
Date: 17<sup>th</sup> April, 2023

**CHOLAMANDALAM FINANCIAL HOLDINGS LIMITED**

CERTIFICATE UNDER SEBI (LODR) REG, 2015  
FOR THE YEAR ENDED 31<sup>ST</sup> MARCH, 2023